

Receipt
#7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of

ATTN: Application Branch

Christian HARDY et al.

Group Art Unit: 2182

Serial No.: 09/986,641

Filed: November 9, 2001

For: PROGRAMMABLE ADAPTER DEVICE FOR COMMUNICATION PROTOCOLS

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

Respectfully submitted,

PARKHURST & WENDEL L.L.P.

December 6, 2001
Date

Roger W. Parkhurst
Registration No. 25,177

RWP:ddh

Attorney Docket No. SCHN:010
PARKHURST & WENDEL L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220
(rev. 4/96)

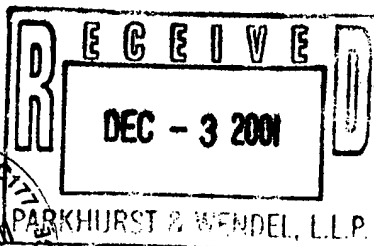
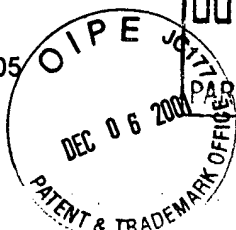


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/986,641 | 11/09/2001 | 2182 | 0.00 | SCHN:010 | 3 | 22 | 1 |

PARKHURST & WENDEL, L.L.P.
Suite 210
1421 Prince Street
Alexandria, VA 22314-2805



CONFIRMATION NO. 5619

FILING RECEIPT



OC000000007122642

Date Mailed: 11/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christian Hardy, Le Thoronet, FRANCE;
Frederic Puig, Valbonne, FRANCE;
Philippe Barthelemy, Biot, FRANCE;

Domestic Priority data as claimed by applicant

FRANCE 00 14755 filed November 14, 2000
Foreign Applications

If Required, Foreign Filing License Granted 11/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Programmable adapter device for communication protocols

Preliminary Class

710

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Christian HARDY et al.

Serial No.: 09/986,641

Filed: November 9, 2001

For: PROGRAMMABLE ADAPTER DEVICE FOR COMMUNICATION PROTOCOLS

NOTIFICATION OF PRIOR SUBMISSION OF
ORIGINAL EXECUTED DECLARATION

Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts dated November 27, 2001, applicant's representatives filed all the requested documents with the USPTO on November 29, 2001. Attached hereto are photocopies of applicant's representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the PTO's copy of the Notice to File Missing Parts.

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

December 6, 2001
Date

R. W. Parkhurst
Registration No. 25,177

Attorney Docket No. SCHN:010

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

(rev. 4/98)

SCHN:010

Atty. Docket No.

Subm of Org. exec. D w/exec. Decl.; Assgmt. trans

Description:

ltr. w/exec. Assgmt.; Clm. for Prior. w/lcc 00 14755;

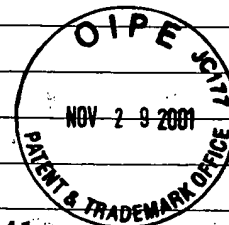
Infmtn. Discl. Stmt, PTO 1449, refs.; Ltr to Offcl.

Draftsperson and CK# 1179 for \$946.00

Inventor(s):

Christian HARDY et al.

Title (new cases):



Attorney: RWP:ddh

Serial No. 09/986,641

Paper(s) Filed November 29, 2001

Date(s) Satisfied NMP - 12/09/01

N.D.D.: IDS - 02/09/02

BWZ



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Christian HARDY et al.

Serial No.: 09/986,641

Filed: November 9, 2001

For: PROGRAMMABLE ADAPTER DEVICE FOR COMMUNICATION PROTOCOLS

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on November 9, 2001 without an executed Declaration. Accordingly, submitted herewith is a fully executed Declaration of the inventors. Attached is our check for \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e). Also attached is our check for \$40.00 to cover the payment for recording the executed Assignment. Also included in our check is the full filing fee for this application.

Entry of these documents should complete all of the filing formalities. Accordingly examination and allowance of the application in due course are respectfully solicited.

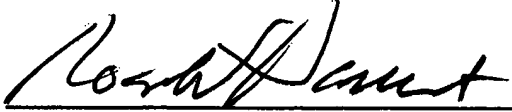
All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

November 29, 2001
Date


Roger W. Parkhurst
Registration No. 25,177

Attorney Docket No. SCHN:010

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

(rev. 5/00)

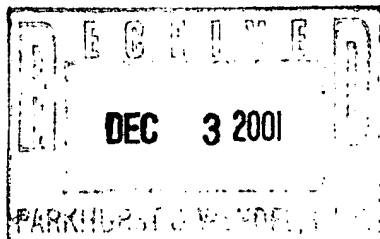


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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/986,641 | 11/09/2001 | Christian Hardy | SCHN:010 |

PARKHURST & WENDEL, L.L.P.
Suite 210
1421 Prince Street
Alexandria, VA 22314-2805



CONFIRMATION NO. 5619

FORMALITIES LETTER



OC000000007122643

Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 906.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE